
COMBINED DECLARATION AND POWER OF ATTORNEY

(Original, Design, National Stage of PCT or CIP Application)

Inventors: Hall, Andrew F.; Blume, Walter M.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are stated below next to my name, I believe I am the original, first and sole inventor (if only one name is listed above) or an original, first and joint inventor along with those listed above (if plural names are listed above) of the subject matter which is claimed and for which a patent is sought on the invention entitled: Magnetically Navigable Telescoping Catheter and Method of Navigating Telescoping Catheter

the specification of which: (Complete (a), (b) or (c) for type of application)

REGULAR OR DESIGN APPLICATION

- (a) _____ is attached hereto.
- (b) x was filed on September 10, 1999 as Application Serial No. 09/393,521 and was amended on _ (if applicable).

PCT FILED APPLICATION ENTERING NATIONAL STAGE

- (c) _____ was described and claimed in International Application No. _ filed on _ and as amended on _ (if any).

ACKNOWLEDGEMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56.

_____ In compliance with this duty there is attached an information disclosure statement.
37 CFR 1.97.

PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

[Complete (d) or (e)]

(d) _____ no such applications have been filed.

(e) _____ such applications have been filed as follows.

**EARLIEST FOREIGN APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION**

| Country | Application No. | Date of filing (day, month, year) | Date of issue (day, month, year) | Priority (day, month, year) | Claimed |
|---------|-----------------|--------------------------------------|-------------------------------------|--------------------------------|----------------|
| | | | | | ___ YES NO ___ |
| | | | | | ___ YES NO ___ |

**ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION**

CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S)

I hereby claim the benefit under Title 35, United States code, § 119(e) of any United States provisional application(s) listed below:

| | |
|----------------------------------|---------------|
| (Provisional Application Number) | (Filing Date) |
| (Provisional Application Number) | (Filing Date) |
| (Provisional Application Number) | (Filing Date) |

CONTINUATION-IN-PART

(Complete this part only if this is a continuation-in-part application)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

| 09/151,615 | 9-11-98 | Pending | |
|--------------------------|---------------|----------|------------------------------|
| (Application Serial No.) | (Filing Date) | (Status) | (Patent, pending, abandoned) |
| | | | |
| | | | |

POWER OF ATTORNEY

As a named inventor, I hereby appoint the following attorney and/or agent to prosecute this application and transact all business in the U.S. Patent and Trademark Office connected therewith, before all competent international authorities in connection with any international application, and before all foreign patent offices in connection with the national phase of any international application or any foreign application, and to appoint any associate attorneys in connection with any application, either domestic, international or foreign national.

John M. Howell (25,261); Richard E. Haferkamp (29,072); Kenneth Solomon (31,427); Joseph M. Rolnicki (32,653); Joseph E. Walsh, Jr. (36,959); Alan H. Norman (32,285); Donald R. Holland (35,197); Bryan K. Wheelock (31,441); Charles E. Dunlap (35,124); Anthony G. Simon (40,813); Michael J. Thomas (39,857); Thomas A. Polcyn (41,256); Elie H. Gendloff (P44,704)

Send Correspondence To
Bryan K. Wheelock
HOWELL & HAFERKAMP, L.C.
7733 Forsyth Boulevard
Suite 1400
St. Louis, Missouri 63105

Direct Telephone Calls To
Bryan K. Wheelock
(314) 727-5188

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Andrew F. Hall

Inventor's signature _____

Date 12/22/98 Country of Citizenship United States of America

Residence 5184 Rosemont Drive, St. Charles, MO 63304

Post Office Address 5184 Rosemont Drive, St. Charles, MO 63304

Full name of second inventor Walter M. Blume

Inventor's signature

Walter M. Blume

Date 12/22/99

Country of Citizenship United States of America

Residence 446 Oak, Webster Groves, MO 63119

Post Office Address 446 Oak, Webster Groves, MO 63119



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APRIL 18, 2000

PTAS

HOWELL & HAFERKAMP, L.C.
BRYAN K. WHELOCK
7733 FORSYTH
SUITE 1400
ST. LOUIS, MO 63105



101265179A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/10/2000

REEL/FRAME: 010533/0781
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HALL, ANDREW F.

DOC DATE: 12/22/1999

ASSIGNOR:

BLUME, WALTER M.

DOC DATE: 12/22/1999

ASSIGNEE:

STEREOTAXIS, INC.
4041 FOREST PARK AVENUE
ST. LOUIS, MISSOURI 63108

SERIAL NUMBER: 09393521
PATENT NUMBER:

FILING DATE: 09/10/1999
ISSUE DATE:

LENELL MACKALL, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

HOWELL & HAFERKAMP, L.C.

RECEIVED

MAY 01 2000



MAY 01 2000

FORM PTO-1619A
Expires 06/30/99
OMB 0651-0027

02-11-2000

U.S. Department of Commerce
Patent and Trademark Office
PATENT

RECEIVED

200 JAN 10 AM 9:16

101265179

RECORDATION FORM COVER SHEET
PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

☒ New

☐ Resubmission (Non-Recordation)

Document ID#

☐ Correction of PTO Error

Reel #

Frame #

☐ Corrective Document

Reel #

Frame #

Conveyance Type

☒ Assignment

☐ Security Agreement

☐ License

☐ Change of Name

☐ Merger

☐ Other

U.S. Government
(For Use ONLY by U.S. Government Agencies)

☐ Departmental File

☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) Andrew F. Hall

Execution Date
Month Day Year
12/22/99

Name (line 2)

Second Party

Name (line 1) Walter M. Blume

Execution Date
Month Day Year
12/22/99

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Stereotaxis, Inc.

Name (line 2)

Address (line 1) 4041 Forest Park Avenue

Address (line 2)

Address (line 3) St. Louis

Missouri

63108

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

02/10/2000 DNGUYEN 00000285 09393521

FOR OFFICE USE ONLY

01 FC:581

(40.00 OP)

Public burden reporting for this collection of information is estimated to average approximately 20 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

Correspondent Name and Address

Area Code and Telephone Number

(314) 727-5188

Name Bryan K. Wheelock

Address (line 1) Howell & Haferkamp, L.C.

Address (line 2) 7733 Forsyth

Address (line 3) Suite 1400

Address (line 4) St. Louis, Missouri 63105

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

2

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

| | | |
|------------|--|--|
| 09/393,521 | | |
| | | |
| | | |

Patent Number(s)

| | | |
|--|--|--|
| | | |
| | | |
| | | |

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

| | | | | | |
|-----|--|-----|--|-----|--|
| PCT | | PCT | | PCT | |
| PCT | | PCT | | PCT | |

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:
Deposit Account

Enclosed ☒ Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

18-1829

Authorization to charge additional fees:

Yes ☒ No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Bryan K. Wheelock

Name of Person Signing



Signature

12/29/99

Date

ASSIGNMENT

WHEREAS, we, Andrew F. Hall of 5184 Rosemont Drive, St. Charles, MO 63304 and Walter M. Blume of 446 Oak, Webster Groves, MO 63119, have invented certain new and useful improvements for a *Magnetically Navigable Telescoping Catheter and Method of Navigating Telescoping Catheter* for which we executed an application for Letters Patent in the United States identifying us as the only inventors thereof said application being assigned Serial Number 09/393,521 by the U.S. Patent and Trademark Office and identified as Howell & Haferkamp, L.C., Docket 3176-9761; and

WHEREAS, Stereotaxis, Inc., a corporation of the State of Missouri having its principal office and place of business at 4041 Forest Park Avenue, St. Louis, MO 63108, is desirous of acquiring the entire right, title and interest in and to said invention and improvements as disclosed in said application, in and to said application, and in and to the patent to be obtained thereon.

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt and adequacy of all of which are hereby acknowledged, we, Andrew F. Hall and Walter M. Blume, have sold, assigned and transferred and do hereby sell, assign and transfer unto said Stereotaxis, Inc., its successors or assigns, the entire right, title and interest in and to said invention and improvements as the same are disclosed in said application which was executed by us in and to said application, and in and to any Letters Patent, including divisionals, continuations, reissues, reexaminations and extensions to be obtained therefor in the United States and all foreign countries; and we further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent as the assignee may desire to apply for, but at the expense of said assignee.

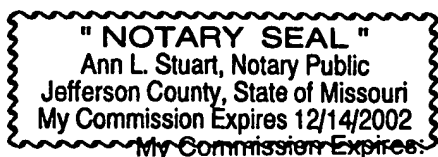
The Commissioner of Patents or other proper official is hereby authorized and requested to issue said Letters Patent to said Stereotaxis, Inc. in accordance with this Assignment.


Andrew F. Hall

STATE OF Missouri
COUNTY OF Jefferson

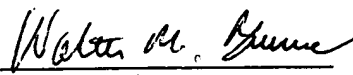
On this 22nd day of December 1999 before me, a Notary Public, within and for the County of Jefferson, State of Mo, personally appeared Andrew F. Hall, to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.




Notary Public

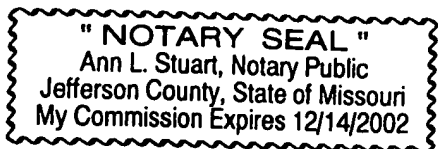
12/14/02


Walter M. Blume

STATE OF Missouri
COUNTY OF Jefferson

On this 22nd day of December 1999 before me, a Notary Public, within and for the County of Jefferson, State of Mo, personally appeared Walter M. Blume, to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.




Notary Public

My Commission Expires: 12/14/02